# 80 Rec'd PCT/PTO 12DEC1997

ATTORNEY IS DOCKET NO. 1504 0200000

U.S. DEPARTMENT OF COMMERC	CE, PATENT AND TRADEMARK OFFICE	DATE: December 12, 1997
TRANSMITTAL LETTER TO THE UNITED (DO/EO/US) CONCERNING A	U.S. AFD 8N/ 91811W1087	
INTERNATIONAL APPLICATION NO.: PCT/GB96/01409	INTERNATIONAL FILING DATE: 12 June 1996	PRIORITY DATE CLAIMED: 12 June 1995

TITLE OF INVENTION: Type F Botulinum Toxin and Use Thereof

APPLICANTS FOR DO/EO/US: ELMORE, Michael James; MAUCHLINE, Margaret Lamble; MINION, Nigel Peter;
PASECHNIK, Vladimir Artymovich; TitBALL, Richard William

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- \_\_\_\_ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. X This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
- 4. X A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - a. X is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office
  - (RO/HS)
- 6. \_\_\_ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. \_\_\_ have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired.
  - c. \_\_\_ have not been made; nowever, and the made.
    d. \_X have not been made and will not be made.
- \_\_\_ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. \_\_\_ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. \_\_\_ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. X A FIRST preliminary amendment.
  - \_\_\_ A SECOND or SUBSEQUENT preliminary amendment
- 14. \_\_\_ A substitute specification.
- \_\_\_ A change of power of attorney and/or address letter.
- 16. X Other items or information: a.) Computer-readable diskette copy of sequence listing; b.) Statement Under 37 C.F.R. § 1.825(b); c.) Authorization to Treat A Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (in dupliate)

J.S. APPLICATION	NO.	INTERNATIONAL A	DDI ICATION NO	DATE:					
if known) o be assigned		PCI/GB96/01409	Tretton no.	December 1 1997					
17. X The following fees are submitted: CALCULATIONS PTO USE trans									
	Fee (37 CFR 1.492)	ENGOLATIONS							
Search Report I	has been prepared	by the EPO or JPO	\$880.00	\$880.00					
International p to USPTO (37 C	reliminary examina FR 1.482)	tion fee paid	\$680.00						
to USPTO (37 C	l preliminary exam FR 1.482) but inte (37 CFR 1.445(a)(2								
(37 CFR 1.482) (37 CFR 1.445(	tional preliminary nor internationa a)(2)) paid to \$1,0	l search fee							
(37 CFR 1.482)	reliminary examin and all claims s :33(2)-(4)	atisfied provision	ns \$ 94.00						
	EN'	TER APPROPRIATE B	ASIC FEE AMOUNT =	\$880.00					
Surcharge of \$1 than 20 date (37 CFR 1.	30.00 for furnish <u>X</u> 30 months fr 492(e)).	ing the oath or d om the earliest c	eclaration later laimed priority	\$130.00					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
TOTAL	_2820=	2	x \$ 22.00	\$ 176-00					
INDEPENDENT	5 - 3=	2	x \$ 78.00	\$ 156.00					
Multiple depen	dent claims(s) (ii	applicable)	+ \$250.00	\$ 250.00					
		TOTAL OF AB	OVE CALCULATIONS	= \$ 1592.00					
Verified Small	/2 for filing by : Entity statement .9, 1.27, 1.28).	small entity, if a must also be fil	applicable. ed.	\$					
(NOTE 37 CIK )	17, 1127, 112071		SUBTOTAL	= \$ 1592.00					
later than	of \$130.00 for f 20 30 mont (37 CFR 1.492(f))	hs from the earli	lish translation est claimed	\$					
pi for ity date	(3) 514 111154.77		TAL NATIONAL FEE	= \$ 1592.00					
	ling the enclosed	by an appropriate	R 1.21(h)). The cover sheet (37 ).00 per property	1					
assignment mus				= \$ 1592.00					
Fee for record assignment mus CFR 3.28, 3.31		TO	TAL FEES ENCLOSED						
assignment mus	·-	то:	TAL FEES ENCLOSED	Amount to be: refunded	\$				
assignment mus		70	TAL FEES ENCLOSED		-				

	A	TTORNEY'S DOCKET NO: 1581.0200000						
U.S. APPLICATION NO. (if known)	INTERNATIONAL APPLICATION	DATE:						
To be assigned	PCT/GB96/01409	December 12, 1997						
a. X A check in the amount of a (This paper is filed in the	61592.00 to cover the above fees is	enclosed.						
b Please charge my Deposit A (A duplicate copy of this	Account No. 19-0036 in the amount of sheet is enclosed.)	\$ to cover the above fees.						
c. X The Commissioner is hereby credit any overpayment to	vauthorized to charge any additiona Deposit Account No. 19-0036.	l fees which may be required, or						
NOTE: Where an appropriate time revive (37 CFR 1.137(a) or pending status.	revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to							
Send All Correspondence To:								
STERNE, KESSLER, GOLDSTEIN & FOX 1100 New York Ave., N.W. Suite 600	-							
Washington, D.C. 20005-3934 (202) 371-2600	Cobe Signatur	eth Sommed Dec. 12, 1997						
	Robert I	J. Esmond						
	32,893 REGISTRA	ATION NUMBER						

Rev. 7/93

## STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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\*BAR OTHER THAN D.C. \*\*REGISTERED PATENT AGENTS

WRITER'S DIRECT NUMBER

INTERNET ADDRESS:

May 27, 1998

Assistant Commissioner for Patents Washington, D.C. 20231

Box Missing Parts / C4

Re:

U.S. Nonprovisional Utility Patent Application Appl. No. 08/981,087; Filed December 12, 1997 For: Type F Botulinum Toxin and Use Thereof Inventors: ELMORE et al.

Our Ref: 1581.0200000/RWE/CBM

Sir

In reply to the "Notification of Missing Requirements under 35 U.S.C. § 371" dated March 27, 1998, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- Fee Transmittal (Form PTO/SB/017) (in duplicate); 1.
- Petition for Extension of Time under 37 C.F.R. § 1.136 (in duplicate); 2.
- Copy of the Notification of Missing Requirements under 35 U.S.C. § 371; 3.
- Original Declaration, executed by the inventors; 4.

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Assistant Commissioner for Patents May 27, 1998 Page 2

- 5. Original, executed Power of Attorney from Assignee with Delegation;
- Original, executed Assignee 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached;
- 7. Return postcard; and
- Our Check No. 21957 for \$110.00 to cover the extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32,893

# 105 Rec'd PCT/PTO 12 DEC 1997 08/981 08 Z

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ELMORE et al

Appl. No. (To be assigned; U.S. Natl. Phase

of PCT/GB96/01409)

Filed: December 12, 1997 (PCT Filing

Date: June 12, 1996)

For: Type F Botulinum Toxin and Use

Thereof

Art Unit: (To be assigned)

Examiner: (To be assigned)

Atty. Docket: 1581.0200000/RWE/BJD

## Statement Under 37 C.F.R. § 1.825(b) Accompanying Submission of Substitute Sequence Listing

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.821(f), Applicants' undersigned representative hereby states that the paper and computer-readable copies of the Substitute Sequence Listing submitted herewith in the above-captioned application are the same.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32,893

Date: Dec. 12, 1997

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005 (202) 371-2600 RWE/BID/Janw

# 165 Recd PCT/PTO 12 DEC 1997 08/981 087

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ELMORE et al.

Appl. No.: (To be assigned; U.S. National

Phase of PCT/GB96/01409)

Filed: (Herewith; PCT File Date:

June 12, 1996)

For: Type F Botulinum Toxin and Use

Thereof

Art Unit: (To Be Assigned)

Examiner: (To Be Assigned)

Atty. Docket: 1581.0200000/RWE/BJD

## Preliminary Amendment and Submission of Substitute Sequence Listing

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In advance of prosecution in the above identified matter, please amend the

application as follows:

#### In the Specification:

Please amend the specification as follows:

At page 1, after the title and before the first paragraph, please insert the following:

## -- CROSS REFERENCE TO RELATED APPLICATION

This application claims priority to International Application No. PCT/GB96/01409, filed June 12, 1996, which designates the United States of America.

#### BACKGROUND OF THE INVENTION

Field of The Invention --;

and prior to the second paragraph, please insert -- Related Art --.

At page 2, first paragraph, line 1, please delete the period at the end of the line and insert therefor a comma; and in the second paragraph, line 2, please delete "C.botulinum" and insert therefor -- C. botulinum".

At page 3, between the second and third paragraphs, please insert:

-- BRIEF SUMMARY OF THE INVENTION --;

and between the third and fourth paragraphs, please insert:

-- DETAILED DESCRIPTION OF THE INVENTION ---

At page 4, last line, please delete "induce" and insert therefor -- inducing --.

At page 5, third full paragraph, line 6, please delete "comprises." and insert therefor -- comprises: --.

At page 10, between the second and third paragraphs, please insert:

-- BRIEF DESCRIPTION OF THE DRAWINGS --:

and in the description of Figure 1, third line, please delete "C.botulinum" and insert therefor -- C. botulinum --.

At page 11, last line, please delete "SpIL" and insert therefor -- SpII --; and please delete "SpII" and insert therefor -- SpII --.

At page 14, three lines from bottom, please delete "C.botulinum" and insert therefor -- C. botulinum --.

Please delete pages 15-22 and insert therefor new pages 15-22 attached hereto, which contain the substitute sequence listing for the present application.

After page 26 and before the drawings, please insert new page 27 attached hereto, which contains the abstract for the present application.

### In the Claims:

Please amend the claims as follows:

At page 23, before claim 1, please delete "CLAIMS" and insert therefor -- WHAT IS CLAIMED IS: --.

Please insert the following new claims:

-- 24. A vaccine comprising a pharmaceutically acceptable carrier and a polypeptide composition according to claim 7.  A recombinant DNA encoding a polypeptide composition according to claim 7. --

Please amend the remaining claims as follows:

In claim 1, line 1, after "activity" please insert -- and free of toxoid --.

In claim 2, line 2, please delete "and"; line 3, please delete "(b)" and insert therefor -- (c) --; and between lines 2 and 3, please insert -- (b) is free of toxoid, and --.

In claim 3, line 1, please delete "1 or".

In claim 5, line 1, please delete "Claims 3 or 4" and insert therefor -- claim 3 --; and in line 2, after "from" and before the colon (":"), please insert -- the group consisting of --.

In claim 6, line 1, please delete "Claims 3 or 4" and insert therefor -- claim 3 --.

In claim 7, line 4, please delete "or a tetanus toxin".

In claim 8, line 2, after "protein" and before the period ("."), please insert -- of (1) and (2) --.

In claim 9, line 1, please delete "or 8"; line 2, please delete "any of Claims 1-6" and insert therefor -- claim 2 --.

In claim 10, please delete "any of Claims 7-9" and insert therefor -- claim 7 --.

In claim 12, lines 2-3, please delete "any of Claims 1-6 or a polypeptide composition according to any of Claims 7-11" and insert therefor -- claim 2 --.

In claim 13, lines 1-2, please delete "any of Claims 1-6 or a polypeptide composition according to any of Claims 7-11" and insert therefor -- claim 2 --.

In claim 14, lines 1-2, please delete "any of Claims 1-6 or a polypeptide composition according to any of Claims 7-11" and insert therefor -- claim 2 --.

In claim 16, line 1, please delete "or 15".

In claim 17, line 4, please delete "or a tetanus toxin"; and line 8, after "column," please insert -- and --.

In claim 19, line 4, please delete "or a tetanus toxin".

In claim 20, line 2, please delete "any of Claims 1-6" and insert therefor -- claim 2 --.

ELMORE et al.
Appl. No. (U.S. Nat. Phase of PCT/GB96/01409)

-6-

DESETORY DEEP OR

In claim 21, line 1, please delete "19 or".

In claim 23, lines 2-3, please delete "any of claims 19-21" and insert therefor -- claim 19 --.

#### Remarks

No new matter has been added by the foregoing amendments. Applicant's undersigned representative has amended the international application to place the specification, sequence listing and claims into proper format for U.S. practice, to correct minor typographical errors in the specification, to insert the substitute sequence listing for the present application between the specification and the claims, and to insert the abstract for the present application between the claims and the drawings.

It is respectfully believed that this application is now in condition for examination.

Early notice to this effect is respectfully requested.

Respectfully submitted.

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32,893

abutw.samond

1100 New York Avenue, N.W. Suite 600

Washington, D.C. 20005

#### SECUENCE LISTING

#### (1) GENERAL INFORMATION:

- (I) APPLICANTS: Elmore, Michael James
  Mauchline, Margaret Lamble
  Minton, Nigel Peter
  Pasechnik, Vladimir Artymovich
  Titball, Richard William
- (ii) TITLE OF INVENTION: Type F Botulinum Toxin and Use Thereof
- (iii) NUMBER OF SEQUENCES: 5
- · ·
- (iv) CORRESPONDENCE ADDRESS: (A) ADDRESSEE: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
  - (B) STREET: 1100 New York Avenue, NW, Suite 600
  - (C) CITY: Washington
  - (D) STATE: DC
  - (E) COUNTRY: USA
  - (F) ZIP: 20005
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: (To Be Assigned)
  - (B) FILING DATE: 12-DEC-1997
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: PCT/GB96/01409
    - (B) FILING DATE: 12-JUN-1996
    - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: GB 9511909.5
  - (B) FILING DATE: 12-JUN-1995
  - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Esmond, Robert W.
    (B) REGISTRATION NUMBER: 32,893
  - (C) REFERENCE/DOCKET NUMBER: 1581.0200000
  - (ix) TELECOMMUNICATION INFORMATION:
    - (A) TELEPHONE: 202-371-2600
    - (B) TELEFAX: 202-371-2540
- (2) INFORMATION FOR SEQ ID NO: 1:
  - (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 431 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
- Ser Tyr Thr Asn Asp Lys Ile Leu Ile Leu Tyr Phe Asn Lys Leu Tyr 1 5 10 15
- Lys Lys Ile Lys Asp Asn Ser Ile Leu Asp Met Arg Tyr Glu Asn Asn 20 25 30
- Lys Phe Ile Asp Ile Ser Gly Tyr Gly Ser Asn Ile Ser Ile Asn Gly 35 40 45
- Asp Val Tyr Ile Tyr Ser Thr Asn Arg Asn Gln Phe Gly Ile Tyr Ser 50
- Ser Lys Pro Ser Glu Val Asn Ile Ala Gln Asn Asn Asp Ile Ile Tyr 65 70 75 80
- Asn Gly Arg Tyr Gln Asn Phe Ser Ile Ser Phe Trp Val Arg Ile Pro 85 90 95
- Lys Tyr Phe Asn Lys Val Asn Leu Asn Asn Glu Tyr Thr Ile Ile Asp 100 105 110
- Cys Ile Arg Asn Asn Asn Ser Gly Trp Lys Ile Ser Leu Asn Tyr Asn 115 120 125
- Lys Ile Ile Trp Thr Leu Gln Asp Thr Ala Gly Asn Asn Gln Lys Leu 130 \$135\$
- Val Phe Asn Tyr Thr Gln Met Ile Ser Ile Ser Asp Tyr Ile Asn Lys 145 150 155 160
- Trp Ile Phe Val Thr Ile Thr Asn Asn Arg Leu Gly Asn Ser Arg Ile 165 \$170\$
- Tyr Ile Asn Gly Asn Leu Ile Asp Glu Lys Ser Ile Ser Asn Leu Gly 180 185 190
- Asp Ile His Val Ser Asp Asn Ile Leu Phe Lys Ile Val Gly Cys Asn 195  $\phantom{\bigg|}200\phantom{\bigg|}$  205
- Asp Thr Arg Tyr Val Gly Ile Arg Tyr Phe Lys Val Phe Asp Thr Glu

O

Leu Gly Lys Thr Glu Ile Glu Thr Leu Tyr Ser Asp Glu Pro Asp Pro 240

Ser Ile Leu Lys Asp Phe Trp Gly Asn Tyr Leu Leu Lu Tyr Asn Lys Arg 255

Tyr Tyr Leu Leu Asn Leu Leu Arg Thr Asp Lys Ser Ile Thr Gln Asn 260

Ser Asn Phe Leu Asn Ile Asn Gln Gln Arg Gly Val Tyr Gln Lys Pro 285

Asn Ile Phe Ser Asn Thr Arg Leu Tyr Thr Gly Val Glu Val Ile Ile 290

Arg Lys Asn Gly Ser Thr Asp Ile Ser Asn Thr Asp Asp Asp Val 325

Lys Asn Asp Leu Asp Ile Asn Val Val Asp Arg Asp Val Glu Tyr 325

Arg Leu Tyr Ala Asp Ile Ser Ile Ala Lys Pro Glu Lys Ile Ile San Val Val Asp Arg Asp Val Glu Tyr 340

Arg Leu Tyr Ala Asp Ile Ser Ile Ala Lys Pro Glu Lys Ile Ile Lys 340

Leu Ile Arg Thr Ser Asn Ser Asn Ser Leu Gly Gln Ile Ile Val  $355 \\ 860 \\ 365$ 

Met Asp Ser Ile Gly Asn Asn Cys Thr Met Asn Phe Gln Asn Asn Asn asn 370  $\phantom{\bigg|}$  370  $\phantom{\bigg|}$  380  $\phantom{\bigg|}$ 

Gly Gly Asn Ile Gly Leu Leu Gly Phe His Ser Asn Asn Leu Val Ala 385 390 395 400

Ser Ser Trp Tyr Tyr Asn Asn Ile Arg Lys Asn Thr Ser Ser Asn Gly 405 410 415

Cys Phe Trp Ser Phe Ile Ser Lys Glu His Gly Trp Gln Glu Asn 420 425 430

#### (2) INFORMATION FOR SEQ ID NO: 2:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 144 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

Lys Lys Ile Lys Asp Asn Ser Ile Leu Asp Met Arg Tyr Glu Asn Asn 20 25 30

Lys Phe Ile Asp Ile Ser Gly Tyr Gly Ser Asn Ile Ser Ile Asn Gly

Asp Val Tyr Ile Tyr Ser Thr Asn Arg Asn Gln Phe Gly Ile Tyr Ser

Ser Lys Pro Ser Glu Val Asn Ile Ala Gln Asn Asn Asp Ile Ile Tyr 65 70 75 80

Asn Gly Arg Tyr Gln Asn Phe Ser Ile Ser Phe Trp Val Arg Ile Pro 85 90 95

Cys Ile Arg Asn Asn Asn Ser Gly Trp Lys Ile Ser Leu Asn Tyr Asn 115 120 125

Lys Ile Ile Trp Thr Leu Gln Asp Thr Ala Gly Asn Asn Gln Lys Leu 130 135 140

### (2) INFORMATION FOR SEQ ID NO: 3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 144 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

Val Phe Asn Tyr Thr Gln Met Ile Ser Ile Ser Asp Tyr Ile Asn Lys 1 5 10 15

Trp Ile Phe Val Thr Ile Thr Asn Asn Arg Leu Gly Asn Ser Arg Ile  $20 \hspace{1.5cm} 25 \hspace{1.5cm} 30 \hspace{1.5cm}$ 

Tyr Ile Asn Gly Asn Leu Ile Asp Glu Lys Ser Ile Ser Asn Leu Gly

Asp Ile His Val Ser Asp Asn Ile Leu Phe Lys Ile Val Gly Cys Asn 50 55 60

Asp Thr Arg Tyr Val Gly Ile Arg Tyr Phe Lys Val Phe Asp Thr Glu 65 70 75 80

Leu Gly Lys Thr Glu Ile Glu Thr Leu Tyr Ser Asp Glu Pro Asp Pro 85 90 95

Ser Ile Leu Lys Asp Phe Trp Gly Asn Tyr Leu Leu Tyr Asn Lys Arg 100 \$105\$

Tyr Tyr Leu Leu Asn Leu Leu Arg Thr Asp Lys Ser Ile Thr Gln Asn 115 120 125

Ser Asn Phe Leu Asn Ile Asn Gln Gln Arg Gly Val Tyr Gln Lys Pro 130 140

(2) INFORMATION FOR SEQ ID NO: 4:

OZUNO.ZBOTBOBO

m

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 143 amino acids
  - (B) TYPE: amino acid
    (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

Asn Ile Phe Ser Asn Thr Arg Leu Tyr Thr Gly Val Glu Val Ile Ile 1 5 10 15

Arg Lys Asn Gly Ser Thr Asp Ile Ser Asn Thr Asp Asn Phe Val Arg 20 25 30

Lys Asn Asp Leu Ala Tyr Ile Asn Val Val Asp Asp Val Glu Tyr 35 40 45

Arg Leu Tyr Ala Asp Ile Ser Ile Ala Lys Pro Glu Lys Ile Ile Lys 50 55 60

Leu Ile Arg Thr Ser Asn Ser Asn Asn Ser Leu Gly Gln Ile Ile Val 65 70 75 80

Met Asp Ser Ile Gly Asn Asn Cys Thr Met Asn Phe Gln Asn Asn Asn

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				85					90					93	
Gly	Gly	Asn	Ile 100	Gly	Leu	Leu	Gly	Phe 105	His	Ser	Asn	Asn	Leu 110	Val	Ala
Ser	Ser	Trp	Tyr	Tyr	Asn	Asn	Ile	Arg	Lys	Asn	Thr	Ser 125	Ser	Asn	Gl:

Cys Phe Trp Ser Phe Ile Ser Lys Glu His Gly Trp Gln Glu Asn 140 135 130

- (2) INFORMATION FOR SEQ ID NO: 5:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 1293 base pairs
    - (B) TYPE: nucleic acid (C) STRANDEDNESS: double
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

CATATACTA	ATGATAAAAT	TCTAATTTTA	TATTTTAATA	AATTATATAA	AAATTAAA	60
GATAACTCTA	TTTTAGATAT	GCGATATGAA	TAATAATAA	TTATAGATAT	CTCTGGATAT	120
GGTTCAAATA	TAAGCATTAA	TGGAGATGTA	TATATTTATT	CAACAAATAG	AAATCAATTT	180
GGAATATATA	GTAGTAAGCC	TAGTGAAGTT	AATATAGCTC	AAAATAATGA	TATTATATAC	24
AATGGTAGAT	ATCAAAATTT	TAGTATTAGT	TTCTGGGTAA	GGATTCCTAA	ATACTTCAAT	30
AAAGTGAATC	TTAÁTAATGA	ATATACTATA	ATAGATTGTA	TAAGGAATAA	TAATTCAGGA	36
TGGAAAATAT	CACTTAATTA	TAATAAAATA	ATTTGGACTT	TACAAGATAC	TGCTGGAAAT	42
AATCAAAAAC	TAGTTTTTAA	TTATACACAA	ATGATTAGTA	TATCTGATTA	AAATAAATAA	48
TGGATTTTTG	TAACTATTAC	TAATAATAGA	TTAGGCAATT	CTAGAATTTA	CATCAATGGA	54
AATTTAATAG	ATGAAAAATC	AATTTCGAAT	TTAGGTGATA	TTCATGTTAG	TGATAATATA	60
TTATTTAAAA	TTGTTGGTTG	TAATGATACA	AGATATGTTG	GTATAAGATA	TTTTAAAGTT	66
TTTGATACGG	AATTAGGTAA	AACAGAAATT	GAGACTTTAT	TAGTGATGA	GCCAGATCCA	72
астатсттая	AAGACTTTT	GGGAAATTAT	TTGTTATATA	ATAAAAGATA	TTATTTATTG	78

AATTTACTAA	GAACAGATAA	GTCTATTACT	CAGAATTCAA	ACTTTCTAAA	TATTAATCAA	840
CAAAGAGGTG	TTTATCAGAA	ACCAAATATT	TTTTCCAACA	CTAGATTATA	TACAGGAGTA	900
GAAGTTATTA	TAAGAAAAAA	TGGATCTACA	GATATATCTA	ATACAGATAA	TTTTGTTAGA	960
AAAAATGATC	TGGCATATAT	TAATGTAGTA	GATCGTGATG	TAGAATATCG	GCTATATGCT	1020
GATATATCAA	TTGCAAAACC	AGAGAAAATA	AATTAAAATTA	TAAGAACATC	TAATTCAAAC	1080
AATAGCTTAG	GTCAAATTAT	AGTTATGGAT	TCAATAGGAA	ATAATTGCAC	AATGAATTTT	1140
саааасаата	ATGGGGGCAA	TATAGGATTA	CTAGGTTTTC	ATTCAAATAA	TTTGGTTGCT	1200
AGTAGTTGGT	ATTATAACAA	TATACGAAAA	AATACTAGCA	GTAATGGATG	CTTTTGGAGT	1260
TTTATTTCTA	AAGAGCATGG	ATGGCAAGAA	AAC			1293

- (2) INFORMATION FOR SEQ ID NO: 6:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 1313 base pairs

(xi) SEOUENCE DESCRIPTION: SEO ID NO: 6:

- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)

GGATCCATAT GTCTTACACT AACGACAAAA TCCTGATCCT GTACTTCAAC AAACTGACA
AAAAAATCAA AGACAACTCT ATCCTGGACA TGCGTTACGA AAACAACAAA TTCATCGACA
TCTCTGGCTA TGGTTCTAAC ATCTCTATCA ACGGTGACGT CTACATCTAC TCTACTAACC
GCAACCAGTT CGGTATCTAC TCTTCTAAAC CGTCTGAAGT AAACATCGCT CAGAACAACG
ACATCATCTA CAACGGTCGT TACCAGAACT TCTCTATCTC TTTCTGGGTT CGTATCCCGA
AATACTTCAA CAAAGTTAAC CTGAACAACG AATACACTAT CATCGACTGC ATCCGTAACA

ARTACITUA CAARGITAAC CIGACACG ARTACACIAT CATCGACTAC ATCCGIAACA
ACAACTCTGG TIGGAAAATC TCTCTGAACT ACAACAAAAT CATCTGGACT CIGCAGGACA
CIGCTGGIAA CAACCAGAAA CIGGITITICA ACIACACICA GATGAICTCT ATCTCTGACT

ACATTAATAA ATGGATCTTC GTTACTATCA CTAACAACCG TCTGGGTAAC TCTCGTATCT

ACATCAACGG TAACCTGATC GATGAAAAAT CTATCTCTAA CCTGGGTGAC ATCCACGTTT 600

60

120

180

240

300

360

420

480

540

CTGACAACAT	CCTGTTCAAA	ATCGTTGGTT	GCAACGACAC	GCGTTACGTT	GGTATCCGTT	660
ACTTCAAAGT	TTTCGACACT	GAACTGGGTA	AAACTGAAAT	CGAAACTCTG	TACTCTGACG	720
AACCGGACCC	GTCTATCCTG	AAAGACTTCT	GGGGTAACTA	CCTGCTGTAC	AACAAACGTT	780
ACTACCTGCT	GAACCTGCTC	CGGACTGACA	AATCTATCAC	TCAGAACTCT	AACTTCCTGA	840
ACATCAACCA	GCAGCGTGGT	GTTTATCAGA	AACCTAATAT	CTTCTCTAAC	ACTCGTCTGT	900
ACACTGGTGT	TGAAGTTATC	ATCCGTAAAA	ACGGTTCTAC	TGACATCTCT	AACACTGACA	960
ACTTCGTACG	TAAAAACGAC	CTGGCTTACA	TCAACGTTGT	TGACCGTGAC	GTTGAATACC	1020
GTCTGTACGC	TGACATCTCT	ATCGCTAAAC	CGGAAAAAAT	CATCAAACTG	ATCCGTACTT	1080
CTAACTCTAA	CAACTCTCTG	GGTCAGATCA	TCGTTATGGA	CTCGATCGGT	AACAACTGCA	1140
CTATGAACTI	CCAGAACAAC	AACGGTGGTA	ACATCGGTCT	GCTGGGTTTC	CACTCTAACA	1200
ACCTGGTTG	TTCTTCTTGG	TACTACAACA	ACATCCGTAA	AAACACTTCT	TCTAACGGTT	1260
GCTTCTGGTC	TTTCATCTCT	AAAGAACACG	GTTGGCAGGA	AAACTAATCT	AGA	1313

### ABSTRACT

The present invention relates to a polypeptide free of toxin activity which gives protection against botulinum type F toxin. The invention also relates to a fusion protein comprising a fragment of a toxin molecule and a purification moiety which enables purification of the fragment from solution. The invention also relates to pharmaceutical compositions comprising the polypeptide or the fusion protein, vaccines comprising the polypeptide, methods of producing the present polypeptides, vaccines and pharmaceutical compositions, and methods of vaccinating a mammal against a botulinum toxin.

#### WO 96/41881

**105 Recidif**CT/PTO.1

## Type F Botulinum toxin and use thereof

The present invention relates to type F botulinum toxin, to a fragment of type F botulinum neurotoxin, to production of the fragment by recombinant means and to a synthetic gene encoding the fragment. In particular, the invention relates to a novel polypeptide fragment capable of eliciting an immunological response that is protective against type F botulinum neurotoxin (BoNT/F) in man or animals and to a vaccine containing the fragment.

Botulinum neurotoxins (BoNTs) are high molecular weight proteins (approx. 150,000 Da) which exert potent neuroparalytic effects on vertebrates. They are elaborated by anaerobic Gram-positive bacteria belonging to the genus Clostridium. The majority of clostridia which produce BoNT are classified as Clostridium botulinum. In recent years, however, isolates which resemble Clostridium barati and Clostridium butyricum have been shown to produce BoNT. On the basis of antigenicity, BoNT has been subdivided into seven distinct types, designated A to G. All seven neurotoxins (BoNT/A to BoNT/G) are synthesised as a single-chain 150,000 Da molecule which subsequently become nicked to the more potent di-chain form, composed of a heavy (H) chain (approx. 100,000 Da) and a light (L) chain (approx. 50,000 Da) linked by at. least one disulphide bridge.

The action of BoNT involves three distinct phases. In the first phase the toxins become bound to acceptors on the external surface of the targeted neural cells. This is followed by an energy dependent internalisation step in which the toxin, or part of it, enters the cell. Thereafter, the active moiety of the toxin causes nerve cell dysfunction by blocking the intracellular release of the neurotransmitter, acetylcholine, at the nerve periphery, causing flaccid paralysis. The L chain possesses the catalytic activity responsible for cell poisoning and the H chain delivers this moiety to the cell cytoplasm by mediating binding of the toxin to the cell and subsequent internalisation.

The entire amino acid sequences of all 7 BoNTs are now known (Minton, N.P. (1995). Current Topics in Microbiology and Immunology 195: 161–187), revealing them to be surprisingly divergent in their primary amino acid sequences. Thus, sequence identity amongst the different serotypes generally does not exceed 40%, with those areas of homology localised to discrete domains which are interspersed with amino acid tracts exhibiting little overall similarity. Between the different L chains (average size 439), 63 amino acids are absolutely conserved. Throughout the H chains (average size 843) 97 amino acids are identical. The most notable areas of conservation include:—the two cysteine residues involved in the disulphide bond formation between the L and H chain; the histidine rich motif within the L chain associated with metalloprotease activity; and a highly conserved PYI/VXALN-motif found adjacent to regions identified

as possessing membrane spanning potential. The most notable tract of sequence divergence amongst toxins is localised to the COOH-terminus of their respective H chains (amino acid 1124 onwards of BoNT/A). This would appear to be consistent with the notion that this domain is involved in neurotoxin binding and that different toxins

target different acceptors on neural cell surfaces.

The effectiveness of modern food–preserving processes in Western countries has made outbreaks of botulism extremely rare. The frequent use of *C.botulinum* as a test organism in the food industry, and the growing use of the toxin by neurobiochemists, has, however, increased the need for human vaccines. The formulation of these vaccines has changed little since the early 1950s: partially purified preparations of the neurotoxins are toxoided by formaldehyde treatment and absorbed onto precipitated aluminium salts. Using such methodology, polyvalent vaccines (against ABCDE or ABEF) for human immunisation are currently available. Such vaccines suffer from the drawback of low immune response and considerable batch to batch variation due to the high proportion (60–90%) of contaminating proteins in toxoid preparations. Recent work has therefore concentrated on the development of procedures for the purification of toxins to near–homogeneity. The use of purified toxins in the production of vaccines, however, suffers from the drawbacks, first, of having to produce them under high containment and, secondly, of requiring the presence of low levels of formaldehyde to prevent possible reversion of the toxoid to the active state.

WO 96/41881 PCT/GB96/01409
- 3 -

Production of subunit vaccines against other organisms and toxins has been investigated by a number of laboratories. This work has focused on the best known toxin subtypes, namely A and B, leading to new vaccines giving specific immunity against toxins of type A or B. Each new vaccine, however, may not give protection against other toxin subtypes.

Recombinant production of vaccine components has brought great advances in vaccine purity and volume of production. A.J. Makoff et al, in Bio/Technology, volume 7, October 1989, pages 1043–1046, describe the expression of a tetanus toxin fragment in *E.coli*, and its purification and potential use as a vaccine. The technique described nevertheless requires a large number of steps to recover purified vaccine components from the host cells.

It is an object of this invention to produce a vaccine against a type F botulinum toxin. It is another object to simplify vaccine manufacture. A further object is to improve production of botulinum toxin vaccines. A still further object of the invention is to overcome or at least mitigate problems and/or limitations in existing vaccines and methods of production.

According to a first aspect of the invention there is provided a polypeptide free of botulinum toxin activity which induces protective immunity to a type F botulinum toxin. The polypeptide is useful in manufacture of a vaccine against type F toxin, and in contrast to prior art compositions such as polyvalent vaccines is not a toxoid and does not need pretreatment with formaldehyde. Also in contrast to prior art compositions the polypeptide is generally of smaller size than the toxin itself.

An embodiment of the first aspect of the invention provides a polypeptide characterized in that it:-

- (a) is free of botulinum toxin activity, and
- (b) is capable of eliciting, in a mammal, an immunological response that is protective against type F botulinum toxin.

The term "protective" used in conjunction with "immunity" and "immunological response" is used to indicate an increased ability to survive challenge by active botulinum toxin F. This increase is typically mediated by an increased titre of antibodies to the toxin or an increased ability to produce antibodies to the toxin upon challenge with toxin. The term is not intended to indicate absolute protection against any amount of toxin.

The invention thus offers specific protection against a type F botulinum toxin, protection that has hitherto been unavailable.

In a particular embodiment the present invention provides a peptide or peptide conjugate comprising the amino acid sequence of the  $\it C.$  botulinum strain Langeland BoNT/F from amino acids 848 to 1278 (SEQ ID NO:1), but lacking the amino acid sequences of the L chain and  $\it H_N$  epitopes necessary for metalloprotease activity and toxin internalisation (found between amino acids 1 to 439 and 440 to 847, respectively); the peptide is capable of inducing an immune response that is protective against BoNT/F when administered to humans or other animals.

In a more particular embodiment the peptides of the invention consist of substantially only the sequence of amino acids from 848 to 1278-(SEQ ID NO:1) of the amino acid sequence of BoNT/F of the *Clostridium botulinum* strain Langeland, or of that sequence in the form of a fusion peptide with another amino acid sequence not being amino acids 1 to 847 of BoNT/F. The term 'other amino acid sequence' will be understood by a person skilled in the art to include complete proteins as well as relatively short amino acid sequences as appropriate to the needs of the user. Optionally, the other amino acid sequence is a non-*C. botulinum*, antigenic protein which is included fused to the aforesaid sequence for the purpose of providing other immunity or labelling, or for modifying expression of the polypeptide in a host cell.

In another embodiment of the invention the polypeptide comprises a fragment or a derivative of a type F botulinum neurotoxin free of botulinum toxin activity and capable of induce protective immunity against type F toxin. The fragment is free of toxoid and

free of formaldehyde and has a length of less than 700 amino acids, preferably less than 500 amino acids.

In further specific embodiments of the invention the fragment is selected from:-

- (a) amino acids 848-1278 of a type F botulinum toxin, (SEQ ID NO:1)
- (b) amino acids 848-991 of a type F botulinum toxin, (SEQ ID NO:2)
- (c) amino acids 992-1135 of a type F botulinum toxin, (SEQ ID NO:3) and
- (d) amino acids 1136-1278 of a type F botulinum toxin (SEQ ID NO:4).

The invention also relates to a toxin derivative, being a synthetic polypeptide comprising a plurality of fragments of a type F botulinum toxin linked together in repeated sections. The derivative can-comprise a dimer of the fragments specified above.

The first aspect of the invention also provides polypeptide compositions, free of botulinum toxin activity and capable of inducing protective immunity against botulinum toxin, which compositions are adapted so as to facilitate their processing. This is of advantage in the manufacture of vaccines as polypeptide must be separated out from a mixture of any components that are undesirable in an eventual vaccine. Such an adapted composition comprises.

- a polypeptide, free of botulinum toxin activity and capable of inducing protective immunity against a botulinum toxin; and
- a polypeptide adapted for purification of the composition.

Component (2) is adapted, for example, to facilitate purification of the composition from aqueous solution and optionally comprises an antibody, a binding region of an antibody, a polypeptide adapted to bind to an ion exchange column, a polypeptide adapted to bind to an affinity chromatography column or a purification ligand.

The composition preferably comprises or consists of a single polypeptide including

components (1) and (2), for example in the form of a fusion polypeptide.

In use of the compositions, extraction of the compositions from a mixture such as the supernatant from lysed cells expressing the composition is rendered a simple and fast process. It is particularly advantageous that in the composition, the vaccinating properties of component (1) are substantially retained, meaning that after purification of the composition it is used in a vaccine without the need for further modification, in particular without the need to remove component (2). As candidates for component (1) of the composition, all polypeptides previously described according to the first aspect of the invention are suitable. Further, fragments of tetanus toxin, free of toxin activity, are suitable.

A polypeptide according to a specific embodiment of the invention thus comprises a fusion protein of:-

- (a) amino acids 848 to 1278 (SEQ ID NO:1) of a type F botulinum neurotoxin, with
  - b) a purification moiety.

It is preferred that the purification moiety is adapted to bind to an affinity chromatography column. A typical purification moiety comprises from 50 to 500 amino acids. In a specific embodiment the fusion protein comprises maltose-binding protein as the purification moiety. This fusion protein is particularly suitable for purification using an affinity chromatography column and has been found to have useful vaccinating properties, as described below.

According to a second aspect the invention provides a vaccine against a botulinum toxin, comprising a polypeptide of the first aspect of the invention and a pharmaceutically acceptable carrier.

Suitable carriers are known to a person of skill in the for preparation of the vaccine. In an embodiment hereinafter described the carrier includes Freund's adjuvant. Another suitable carrier component is precipitated alum salts.

In a third aspect of the present invention there is provided a recombinant DNA encoding polypeptides of the invention. Such recombinant DNA is conveniently provided by PCR amplification of the DNA coding for the desired sequence, eg., BoNT/F<sub>848-1278</sub>, using primers targeted at respective ends of the double stranded sequence. Optionally the template sequence used in PCR represents the natural clostridial gene. In a preferred embodiment of the invention, however, the sequence used is a synthetic sequence encoding the same amino acids as the natural clostridial protein but in which codon usage has been altered. It is preferred that the synthetic gene has a GC content of at least 40%, preferably at least 45% and most preferably at least 50%.

In the case of such a synthetic sequence, insertion into the chosen expression plasmid is achieved, in one embodiment of the invention, through the use of incorporated appropriate restriction endonuclease recognition sites positioned at the extremities of the DNA fragment during its construction.

By whatever means the recombinant DNA encoding the BoNT/F peptide is generated, it is ligated into a suitable expression vector at which stage genetic fusion to a desired fusion peptide encoding sequence occurs, if desired, and the resultant vector is introduced into a suitable cell line, eg., *E. coli* or a yeast such as *Pichia pastoris*. A cell line producing the desired product is selected through established procedures, eg., Western Blotting, or ELISA.

Fourth and fifth aspects of the invention provide respectively, a plasmid vector incorporating the DNA of the third aspect and a cell line comprising the plasmid and expressing the DNA.

The invention also provides a method for production of a toxin vaccine in which purification of active vaccinating agent is facilitated by its expression in combination with a polypeptide sequence adapted for purification. Accordingly, a sixth aspect of the invention provides a method for production of a toxin vaccine, said vaccine comprising a vaccinating polypeptide free of toxin activity and capable of inducing

WO 96/41881 PCT/GB96/01409
- 8 -

protective immunity against a toxin, wherein the method comprises expressing in a host cell a DNA sequence coding for a fusion protein, said fusion protein comprising said vaccinating polypeptide and a purification moiety, obtaining an extract from the host cell comprising the fusion protein, and purifying therefrom the fusion protein.

In preferred embodiment of the sixth aspect of the invention there is provided a method of producing a vaccine containing a polypeptide of the first aspect of the invention, comprising the steps of:-

- (a) expressing in a host cell a DNA encoding a fusion protein, said protein being a fusion of (i) a fragment of a botulinum toxin, said fragment being free of toxin activity and capable of inducing protective immunity against botulinum toxin, and (ii) a purification moiety adapted to bind to an affinity chromatography column,
- (b) obtaining from said host cell an extract comprising the fusion protein,
- (c) purifying the fusion protein using an affinity chromatography column.

In use of an embodiment of the invention the fusion protein is removed from the column by ellution with a substrate. The method optionally includes cleaving the fusion protein and retaining the toxin fragment. The method has been used specifically with type F toxin but applies also to all other botulinum toxins and to tetanus toxin.

By this method the invention enables a preparation of botulinum toxin type F fragment free of contamination by other clostridial proteins, these latter frequently contaminating prior art preparations derived from cultures of *Clostridium* bacteria.

The fusion protein or toxin fragment obtained is typically in a substantially pure form and suitable for incorporation into a vaccine or other pharmaceutical composition in a few simple steps.

It should be noted that the creation of certain fusion proteins comprising the BoNT/F-derived peptide is useful in the initial isolation BoNT/F, following which

cleavage is optionally employed to purify the BoNT/F-related peptide. Where codons are added at the 5'-end of the BoNT/F-encoding DNA to aid in translation, these amino acids are optionally retained at the NH<sub>2</sub>- terminal end of the final peptide, eg., those used to bring about secretion of the peptide or more simply the addition of an NH<sub>2</sub>-terminal methionine to initiate translation.

A seventh aspect of the invention provides a method of making a pharmaceutical composition comprising:-

- (a) expressing in a host cell a DNA encoding a fusion protein, said protein being a fusion of (i) a botulinum toxin or a fragment thereof, free of toxin activity and capable of inducing protective immunity against botulinum toxin, and (ii) a purification moiety adapted to bind to an affinity chromatography column,
- (b) obtaining from said host cell an extract comprising the fusion protein,
- (c) purifying the fusion protein using an affinity chromatography column,
- (d) incorporating the purified fusion protein into a pharmaceutical composition.

The purification moiety typically comprises 50 to 500 amino acids, is water soluble and binds to an affinity chromatography column.

The inventors have found that a fusion protein retaining the purification moiety is of advantage in producing a vaccine against a type F botulinum toxin. Vaccinating activity is found in the fusion protein, so the purification protein does not need to be removed prior to vaccine manufacture, thus simplifying the manufacturing process. It is preferred that the purification protein is a globular, water soluble protein that binds to and can be purified using an affinity chromatography column. It is further preferred that the purification protein is highly immunogenic. Thus, a particularly preferred fusion protein comprises a fragment of a botulinum toxin free of toxin activity, an immunogenic region and a purification region adapted to bind to an affinity chromatography column.

The term immunogenic region is used above to describe a sequence of amino acids in a protein that is known to elicit stimulation of the immune system in humans or other animals. Examples of such an immunogenic region include keyhole limpet haemocyanin.

Further aspects of the invention provide a pharmaceutical containing the fusion protein, methods of vaccinating mammals using the vaccines and compositions of the invention and antisera raised against the polypeptides, vaccines and compositions of the invention.

There now follows description of specific embodiments of the invention, illustrated by drawings in which:-

Figure 1: shows the three major domains of a BoNT toxin. The numbers refer to the positions of the amino acids flanking these three domains in BoNT/F of *C.botulinum* strain Langeland;

Figure 2: shows a schematic representation of how synthetic gene blocks were assembled by PCR;

Figure 3: shows an example of a recombinant plasmid (pFHC206) made in which the synthetic DNA fragment in Figure 5 is inserted into the expression plasmid pMal-C2; and

Figure 4: shows antibody titres against BoNT/F obtained in mice immunised with MBP-BoNT/F<sub>848-1278</sub> recombinant protein.

SEQ ID NO:5 shows the nucleotide sequence of the region of the BoNT/F gene from Clostridium botulinum type F strain Langeland encoding the  $H_{\rm C}$  fragment;

SEQ ID NO:6 shows a synthetic DNA sequence encoding the BoNT/F  $H_{\rm C}$  fragment which uses codons which are used most frequently in highly expressed genes of E.

- 11 -

coli. The codon corresponding to BoNT/F Ser<sub>848</sub> begins at nucleotide position 12. It is proceeded by a codon specifying a NH<sub>2</sub>-terminal methionine codon and restriction sites for *Ndel* and *Bam*HI. The codon for Asn<sub>1278</sub> begins at nucleotide position 1302, and is followed by a translational stop codon (nt 1305–1308) and a restriction site for *Xbal*;

### **EXAMPLES**

Generation of a synthetic DNA fragment encoding H<sub>C</sub> of BoNT/F which makes use of codons utilised by highly expressed E. coli genes

A synthetic sequence encoding BoNT/F<sub>848-1278</sub> was designed by reverse translation of the BoNT/F amino acid sequence using the REVTRANS programme of DNASTAR Inc (Madison, USA). The codon code used was the "strongly expressed E. coli backtranslation code" (SECOLI.RTC). To facilitate the construction, a number of changes were then made to introduce restriction enzyme recognition sites at strategic points along the length of the fragment, including unique flanking proximal sites for BamHI and Ndel a distal flanking site for Xbal and internal sites for Hpal, Mlul and Spl. The gene was then constructed from overlapping 100 mer oligonucleotides by a procedure essentially as described elsewhere [Sandhu et al (1992) Biotechniques 12:14–16].

Briefly, the gene was constructed as 4 individual blocks (A, B, C and D), each of approximately 350 bp in size. Each block was assembled from 4 x 100 mer alternating oligonucleotides which overlapped with each other by 20 nucleotides. These 4 oligonucleotides were used in a PCR to generate a composite c.350 bp double-stranded DNA fragment, which was subsequently amplified using 20 mer flanking primers. The amplified fragments of each block were then cloned directly into plasmid pCRII (Invitrogen Corp). The flanking primers of all 4 blocks were designed to include restriction enzyme sites which would allow their subsequent assembly into a contiguous fragment. Thus, block A was flanked by BamHI (5') and Hpal (3'), block B by Hpal (5') and Miul (3'), block C by Miul (5') and Sp1L (3'), and block D by Sp1I

WO 96/41881 PCT/GB96/01409
- 12 -

(5') and Xbal (3'). Each block was, therefore, released from their respective pCRII-derived recombinant plasmid by cleavage with the appropriate enzyme and the isolated fragments ligated to pMTL23 [Chambers et al (1988). Gene 68:139–149] plasmid DNA which had been cleaved with BamHI and Xbal. A clone was then selected in which all 4 blocks had been inserted in the expected order. This was confirmed by nucleotide sequencing using routine methods [Maniatis et al. (1989). Molecular Cloning a Laboratory Manual. Cold Spring Harbor Laboratory Press], and the plasmid obtained designed pFHC23.

## Generation of a H<sub>C</sub> peptide (848 to 1278) of BoNT/F of C. botulinum strain Langeland

A candidate vaccine against the BoNT/F of *C. botulinum* was produced by expressing the fragment of the synthetic gene encoding the H<sub>C</sub> fragment, amino acids 848 to 1278. This DNA fragment was isolated from plasmid pFHC23 as an approximately 1.3 kb *Bam*HI-*Xho*I restriction fragment and inserted between the unique *Bam*HI and *SaI* sites of pUC9 [Vieira and Messing (1982). Gene 19: 259–268], generating the plasmid pFHC29. The insert was then reisolated from pFHC29 as an *Eco*RI-*XbaI* fragment and inserted between the equivalent sites of the commercially available expression vector pMaI-c2 (New England Biolabs), to yield the final plasmid pFHC206. The resultant plasmid expressed BoNT/F<sub>848-1278</sub> as a fusion protein with the vector encoded maltose binding protein (MBP).

Fusion protein product (MBP–BoNT/F $_{848-1278}$ ) was prepared from the cell line containing pFHC206 in the following manner. *E. coli* containing pFHC206 was cultivated in 1 litre of media (M9, supplemented with 0.8M sorbitol, 0.5% casamino acids, 50  $\mu$ g/ml ampicillin), shaking (200 rpm) at 37  $^{\rm O}$ C until an OD $_{600}$  of 1.0 was achieved. At this point IPTG was added at a final concentration of 1 mM and shaking continued at 27  $^{\rm O}$ C for a further 4 hour. Cells were harvested by centrifugation (5000 x g) and resuspended in 20 ml of lysis buffer (Protein Fusion and Purification System, New England Biolabs) and cells disrupted by sonication. Lysate was applied to a GPC column containing 180 ml of Sephacel S100, and the protein in the void fraction collected. MBP–BoNT/F H $_{848-1278}$  fusion protein in this fraction was then allowed

to adsorb at room temperature to a 4-6 ml volume of Amylose resin (New England Laboratories) over a 3 hour period with gentle shaking (10 rpm). Recombinant fusion protein was then eluted in buffer (0.01M Tris HCl, pH 7.0) containing 5 mM mailtose. Eluted protein was concentrated using an Amicon PM30 membrane filter.

### Toxicity of candidate vaccine

The toxicity of the candidate vaccine fusion peptide was determined by intraperitoneal inoculation of 25  $\mu$ g amounts of the total recombinant MBP–BoNT/F<sub>848–1278</sub> protein into groups of 4 mice. The candidate vaccine was well tolerated and mice showed no signs of acute or chronic toxicity up to 2 weeks post inoculation.

### Antibody responses to candidate vaccines

The candidate vaccine was administered to groups of 4 mice by intraperitoneal inoculation in complete Freund's adjuvant, and a booster inoculation given on 3 further occasions at two week intervals. Antibody response against purified *C. botulinum* strain Langeland BoNT/F was monitored by ELISA (Fig 4).

#### Protection against toxin challenge

Animals which were immunised with MBP-BoNT/F $_{848-1278}$  fusion protein were subjected to an intraperitoneal challenge with various doses of purified C. botulinum strain Langeland BoNT/F. At doses of 12 LD $_{50}$  and above, all the control, unimmunised mice succumbed within 24 hour. All immunised groups of mice survived challenges of up to 2.4  $\times$  10 $^4$  LD $_{50}$ . One of the immunised mice which had survived an initial challenge of 1.8, LD $_{50}$  was subsequently shown to be immune to a further challenge of 10 $^6$  LD $_{50}$ .

TABLE 1: Protection against challenge with *C. botulinum* strain Langeland BoNT/F afforded by the MBP-BoNT/F $_{848-1278}$  fusion protein vaccine. A total of 4 X 25  $\mu$ g intraperitoneal doses of antigen mixed with adjuvant were given to groups of 4 mice

- 14 -

at 14 day intervals. After 50 days mice were subjected to intraperitoneal challenges of varying levels of purified BoNT/F, (isolated from *C. botulinum* strain Langeland), and deaths recorded up to 4 days.

Challenge Dose (LD <sub>50</sub> )	Mortality/T	otal Animals
	Control Animals	Immunised Animals
2.4 x 10 <sup>4</sup>	4/4	0/4
3.6 x 10 <sup>3</sup>	4/4	0/4
5.4 x 10 <sup>2</sup>	4/4	0/4
81	4/4	0/4
12	4/4	0/4
1.8	2/4	0/4 <sup>a</sup>

a = one of the surviving individuals from this group was subsequently shown to be protected against a BoNT/F challenge equivalent to  $10^6$  LD $_{50}$ .

This invention provides a fragment (such as amino acids 848–1278) of BoNT/F isolated from *C. botulinum* strain Langeland for use as a vaccine. The fragment retains its immunogenic properties while still fused with MBP, dispensing with the need for an additional purification step. The recombinant fusion protein appears to be non-toxic in mice at doses up to 25µg. Repeated doses produced a significant antibody response which protects animals against BoNT/F challenge. As a vaccine it offers several advantages over neurotoxin toxoided by formaldehyde treatment. Most notably, it may be prepared more easily and, due to the absence of active toxin, at a lower level of containment. The absence of other contaminating *C.botulinum* proteins and partially toxoided materials also make it inherently safer for vaccine application and less reactogenic.

#### SEQUENCE LISTING.

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  - (B) STREET: Three Dormers, Bakers Hill, Semley
  - (C) CITY: Shaftesbury
  - (D) STATE: Dorset
  - (E) COUNTRY: UK
  - (F) POSTAL CODE (ZIP): SP7 9BQ
  - (A) NAME: Vladimir Artymovich Pasechnik
  - (B) STREET: 1 Copper Beech Close

  - (C) CITY: Shrewton
  - (D) STATE: Wiltshire
  - (E) COUNTRY: UK
  - (F) POSTAL CODE (ZIP): SP4 4HU
- (ii) TITLE OF INVENTION: BOTULINUM TOXIN VACCINE AND ITS MANUFACTURE
- (iii) NUMBER OF SEQUENCES: 6
- (iv) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)
- (2) INFORMATION FOR SEQ ID NO: 1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 431 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
- Ser Tyr Thr Asn Asp Lys Ile Leu Ile Leu Tyr Phe Asn Lys Leu Tyr 1 5 10 15
- Lys Lys Ile Lys Asp Asn Ser Ile Leu Asp Met Arg Tyr Glu Asn Asn 20 25 30
- Lys Phe Ile Asp Ile Ser Gly Tyr Gly Ser Asn Ile Ser Ile Asn Gly 35  $\phantom{\bigg|}40\phantom{\bigg|}$
- Asp Val Tyr Ile Tyr Ser Thr Asn Arg Asn Gln Phe Gly Ile Tyr Ser  $50 \hspace{1.5cm} 60 \hspace{1.5cm}$
- Ser Lys Pro Ser Glu Val Asn Ile Ala Gln Asn Asn Asn Ile Ile Tyr 65 70 75 80
- Asn Gly Arg Tyr Gln Asn Phe Ser IIe Ser Phe Trp Val Arg IIe Pro 85  $\phantom{0000}-\phantom{0}90$   $\phantom{0000}$  95
- Lys Tyr Phe Asn Lys Val Asn Leu Asn Asn Glu Tyr Thr Ile Ile Asp  $100 \hspace{1.5cm} 100 \hspace{1.5cm} 105 \hspace{1.5cm} 110 \hspace{1.5cm}$
- Cys Ile Arg Asn Asn Asn Ser Gly Trp Lys Ile Ser Leu Asn Tyr Asn 115 120 125
- Lys Ile Ile Trp Thr Leu Gln Asp Thr Ala Gly Asn Asn Gln Lys Leu 130  $$135\$
- Val Phe Asn Tyr Thr Gln Met Ile Ser Ile Ser Asp Tyr Ile Asn Lys 145 150 150 155
- Trp Ile Phe Val Thr Ile Thr Asn Asn Arg Leu Gly Asn Ser Arg Ile 165 170 175
- Tyr Ile Asn Gly Asn Leu Ile Asp Glu Lys Ser Ile Ser Asn Leu Gly 180 185 190
- Asp Ile His Val Ser Asp Asn Ile Leu Phe Lys Ile Val Gly Cys Asn 195 200 205
- Asp Thr Arg Tyr Val Gly Ile Arg Tyr Phe Lys Val Phe Asp Thr Glu 210 215 220

Leu Gly Lys Thr Glu Ile Glu Thr Leu Tyr Ser Asp Glu Pro Asp Pro 225 230 235

Ser Ile Leu Lys Asp Phe Trp Gly Asn Tyr Leu Leu Tyr Asn Lys Arg 245 250 250

Tyr Tyr Leu Leu Asn Leu Leu Arg Thr Asp Lys Ser Ile Thr Gln Asn  $260 \hspace{1.5cm} 265 \hspace{1.5cm} 265 \hspace{1.5cm} 270 \hspace{1.5cm}$ 

Ser Asn Phe Leu Asn Ile Asn Gln Gln Arg Gly Val Tyr Gln Lys Pro 275 280 285

Asn Ile Phe Ser Asn Thr Arg Leu Tyr Thr Gly Val Glu Val Ile Ile 290 295 300

Arg Lys Asn Gly Ser Thr Asp Ile Ser Asn Thr Asp Asn Phe Val Arg 305 310 315 320

Lys Asn Asp Leu Ala Tyr Ile Asn Val Val Asp Arg Asp Val Glu Tyr 325 330 335

Arg Leu Tyr Ala Asp Ile Ser Ile Ala Lys Pro Glu Lys Ile Ile Lys 340 345 350

Leu Ile Arg Thr Ser Asn Ser Asn Ser Leu Gly Gln Ile Ile Val 355 360 365

Met Asp Ser Ile Gly Asn Asn Cys Thr Met Asn Phe Gln Asn Asn Asn 370 380

Gly Gly Asn Ile Gly Leu Leu Gly Phe His Ser Asn Asn Leu Val Ala 385 390 395

Ser Ser Trp Tyr Tyr Asn Asn Ile Arg Lys Asn Thr Ser Ser Asn Gly 405 410 415

Cys Phe Trp Ser Phe Ile Ser Lys Glu His Gly Trp Gln Glu Asn 420 425 430

- (2) INFORMATION FOR SEQ ID NO: 2:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 144 amino acids
    - (B) TYPE: amino acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: peptide
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

Ser Tyr Thr Asn Asp Lys Ile Leu Ile Leu Tyr Phe Asn Lys Leu Tyr

Lys Lys Ile Lys Asp Asn Ser Ile Leu Asp Met Arg Tyr Glu Asn Asn 20 25 30

Lys Phe Ile Asp Ile Ser Gly Tyr Gly Ser Asn Ile Ser Ile Asn Gly 35 40 45

Asp Val Tyr Ile Tyr Ser Thr Asn Arg Asn Gln Phe Gly Ile Tyr Ser  $50 \hspace{1.5cm} 60$ 

Ser Lys Pro Ser Glu Val Asn Ile Ala Gln Asn Asn Asp Ile Ile Tyr 65  $\phantom{000}70\phantom{000}70\phantom{000}75\phantom{0000}$ 

Asn Gly Arg Tyr Gln Asn Phe Ser IIe Ser Phe Trp Val Arg IIe Pro 85 90 95

Lys Tyr Phe Asn Lys Val Asn Leu Asn Asn Glu Tyr Thr Ile Ile Asp 100 105 110

Cys Ile Arg Asn Asn Asn Ser Gly Trp Lys Ile Ser Leu Asn Tyr Asn 115 120 125

Lys Ile Ile Trp Thr Leu Gln Asp Thr Ala Gly Asn Asn Gln Lys Leu 130 135 140

#### (2) INFORMATION FOR SEQ ID NO: 3:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 144 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

Val Phe Asn Tyr Thr Gln Met Ile Ser Ile Ser Asp Tyr Ile Asn Lys 1  $\phantom{\bigg|}5\phantom{\bigg|}$  10  $\phantom{\bigg|}15\phantom{\bigg|}$ 

Trp Ile Phe Val Thr Ile Thr Asn Asn Arg Leu Gly Asn Ser Arg Ile 20 25 30

Tyr Ile Asn Gly Asn Leu Ile Asp Glu Lys Ser Ile Ser Asn Leu Gly 35 40 45

Asp Ile His Val Ser Asp Asn Ile Leu Phe Lys Ile Val Gly Cys Asn 50 55 60

Asp Thr Arg Tyr Val Gly Ile Arg Tyr Phe Lys Val Phe Asp Thr Glu 65 70 75 80

- 19 -Leu Gly Lys Thr Glu Ile Glu Thr Leu Tyr Ser Asp Glu Pro Asp Pro 90

Ser Ile Leu Lys Asp Phe Trp Gly Asn Tyr Leu Leu Tyr Asn Lys Arg

Tyr Tyr Leu Leu Asn Leu Leu Arg Thr Asp Lys Ser Ile Thr Gln Asn 115

Ser Asn Phe Leu Asn Ile Asn Gln Gln Arg Gly Val Tyr Gln Lys Pro 135

- (2) INFORMATION FOR SEQ ID NO: 4:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 143 amino acids
    - (B) TYPE: amino acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: peptide
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

Asn Ile Phe Ser Asn Thr Arg Leu Tyr Thr Gly Val Glu Val Ile Ile

Arg Lys Asn Gly Ser Thr Asp Ile Ser Asn Thr Asp Asn Phe Val Arg

Lys Asn Asp Leu Ala Tyr Ile Asn Val Val Asp Arg Asp Val Glu Tyr

Arg Leu Tyr Ala Asp Ile Ser Ile Ala Lys Pro Glu Lys Ile Ile Lys

Leu Ile Arg Thr Ser Asn Ser Asn Ser Leu Gly Gln Ile Ile Val

Met Asp Ser Ile Gly Asn Asn Cys Thr Met Asn Phe Gln Asn Asn Asn

Gly Gly Asn Ile Gly Leu Leu Gly Phe His Ser Asn Asn Leu Val Ala 105

Ser Ser Trp Tyr Tyr Asn Asn Ile Arg Lys Asn Thr Ser Ser Asn Gly 120

Cys Phe Trp Ser Phe Ile Ser Lys Glu His Gly Trp Gln Glu Asn 130 135

### (2) INFORMATION FOR SEQ ID NO: 5:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 1293 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

TCATATACTA ATGATAAAAT TCTAATTTTA TATTTTAATA AATTATATAA AAAAATTAAA 60 GATAACTCTA TTTTAGATAT GCGATATGAA AATAATAAAT TTATAGATAT CTCTGGATAT 120 GGTTCAAATA TAAGCATTAA TGGAGATGTA TATATTTATT CAACAAATAG AAATCAATTT 180 GGAATATATA GTAGTAAGCC TAGTGAAGTT AATATAGCTC AAAATAATGA TATTATATAC 240 AATGGTAGAT ATCAAAATTT TAGTATTAGT TTCTGGGTAA GGATTCCTAA ATACTTCAAT 300 AAAGTGAATC TTAATAATGA ATATACTATA ATAGATTGTA TAAGGAATAA TAATTCAGGA 360 TGGAAAATAT CACTTAATTA TAATAAAATA ATTTGGACTT TACAAGATAC TGCTGGAAAT 420 480 AATCAAAAAC TAGTTTTAA TTATACACAA ATGATTAGTA TATCTGATTA TATAAATAAA TGGATTTTG TAACTATTAC TAATAATAGA TTAGGCAATT CTAGAATTTA CATCAATGGA 540 -AATTTAATAG ATGAAAAATC AATTTCGAAT TTAGGTGATA TTCATGTTAG TGATAATATA 600 TTATTTAAAA TTGTTGGTTG TAATGATACA AGATATGTTG GTATAAGATA TTTTAAAGTT 660 TTTGATACGG AATTAGGTAA AACAGAAATT GAGACTTTAT ATAGTGATGA GCCAGATCCA 720 780 AATTTACTAA GAACAGATAA GTCTATTACT CAGAATTCAA ACTTTCTAAA TATTAATCAA 840 CAAAGAGGTG TTTATCAGAA ACCAAATATT TTTTCCAACA CTAGATTATA TACAGGAGTA 900 GAAGTTATTA TAAGAAAAAA TGGATCTACA GATATATCTA ATACAGATAA TTTTGTTAGA 960 AAAAATGATC TGGCATATAT TAATGTAGTA GATCGTGATG TAGAATATCG GCTATATGCT 1020 GATATATCAA TTGCAAAACC AGAGAAAATA ATAAAATTAA TAAGAACATC TAATTCAAAC 1080 AATAGCTTAG GTCAAATTAT AGTTATGGAT TCAATAGGAA ATAATTGCAC AATGAATTTT 1140 CAAAACAATA ATGGGGGCAA TATAGGATTA CTAGGTTTTC ATTCAAATAA TTTGGTTGCT 1200

AGTAGTTGGT	ATTATAACAA	TATACGAAAA	AATACTAGCA	GTAATGGATG	CTTTTGGAGT	1260
TTTATTTCTA	AAGAGCATGG	ATGGCAAGAA	AAC	•		1293

- (2) INFORMATION FOR SEQ ID NO: 6:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 1313 base pairs
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: double
    - (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: DNA (genomic)

# (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

						(0
GGATCCATAT	GTCTTACACT	AACGACAAAA	TCCTGATCCT	GTACTTCAAC	AAACTGTACA	60
AAAAAATCAA	AGACAACTCT	ATCCTGGACA	TGCGTTACGA	AAACAACAAA	TTCATCGACA	120
TCTCTGGCTA	TGGTTCTAAC	ATCTCTATCA	ACGGTGACGT	CTACATCȚAC	TCTACTAACC	180
GCAACCAGTT	CGGTATCTAC	TCTTCTAAAC	CGTCTGAAGT	AAACATCGCT	CAGAACAACG	240
ACATCATCTA	CAACGGTCGT	TACCAGAACT	TCTCTATCTC	TTTCTGGGTT	CGTATECCGA	300
AATACTTCAA	CAAAGTTAAC	CTGAACAACG	AATACACTAT	CATCGACTGC	ATCCGTAACA	360
ACAACTCTGG	TTGGAAAATC	TCTCTGAACT	ACAACAAAAT	CATCTGGACT	CTGCAGGACA	420
CTGCTGGTAA	CAACCAGAAA	CTGGTTTTCA	ACTACACTCA	GATGATCTCT	ATCTCTGACT	480
ACATTAATAA	ATGGATCTTC	GTTACTATCA	CTAACAACCG	TCTGGGTAAC	TCTCGTATCT	540
ACATCAACGG	TAACCTGATC	GATGAAAAAT	CTATCTCTAA	CCTGGGTGAC	ATCCACGTTT	600
CTGACAACAT	CCTGTTCAAA	ATCGTTGGTT	GCAACGACAC	GCGTTACGTT	GGTATCCGTT	660
ACTTCAAAGI	TTTCGACACT	GAACTGGGTA	AAACTGAAAT	CGAAACTCTG	TACTCTGACG	720
AACCGGACCC	GTCTATCCTG	AAAGACTTCT	GGGGTAACTA	CCTGCTGTAC	AACAAACGTT	780
ACTACCTGCT	GAACCTGCTC	CGGACTGACA	AATCTATCAC	TCAGAACTCT	AACTTCCTGA	840
ACATCAACCA	GCAGCGTGGT	GTTTATCAG	AACCTAATAT	CTTCTCTAAC	ACTCGTCTGT	900
					AACACTGACA	960
			A-TCAACGTTGT			1020
					ATCCGTACTT	1080

CTAACTCTAA	CAACTCTCTG	GGTCAGATCA	TCGTTATGGA.	CTCGATCGGT	AACAACTGCA	1140
				,		
CTATGAACTT	CCAGAACAAC	AACGGTGGTA	ACATCGGTCT	GCTGGGTTTC	CACTCTAACA	1200
011110111011	00.101.01.01					
ACCTGGTTGC	MILCUAL COATOO	TACTACAACA	A C ATC C CTT A A	A A A C A COMPOUT	TOTAL COOTT	1260
ACCIGGIIGC	1101101100	INCINCANCA	ACAICCGIAA	AAACACTICI	ICIAACGGII	1260
GCTTCTGGTC	TTTCATCTCT	AAAGAACACG	GTTGGCAGGA	AAACTAATCT	AGA	1313

### CLAIMS

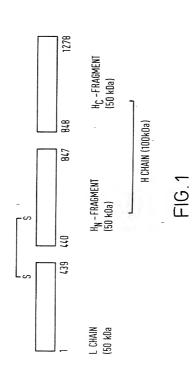
- A polypeptide free of botulinum toxin activity and free of toxoid which induces protective immunity to a type F botulinum toxin.
- 2. A polypeptide characterized in that it:-
  - (a) is free of botulinum toxin activity,
  - (b) is free of toxoid, and
  - (c) is capable of eliciting, in a mammal, an immunological response that is protective against type F botulinum toxin.
- A polypeptide according to Claim 1 or 2 comprising a fragment or a derivative of a heavy chain of a type F botulinum neurotoxin.
- A polypeptide according to Claim 3 wherein said fragment or said derivative is up to 600 amino acids long.
- A polypeptide according to Claims 3 or 4 wherein said fragment is selected from:-
  - (a) amino acids 848-1278 of a type F botulinum toxin,
  - (b) amino acids 848-991 of a type F botulinum toxin,
  - (c) amino acids 992-1135 of a type F botulinum toxin, and
  - (d) amino acids 1136-1278 of a type F botulinum toxin.
- A polypeptide according to Claims 3 or 4 wherein said derivative comprises a dimer of the fragment according to any of (a)-(d) of Claim 5.
- A polypeptide composition for use in manufacture of a vaccine, said composition comprising:-
  - a polypeptide free of toxin activity and capable of inducing, in a mammal, protective immunity against a botulinum toxin; and

- (2) a polypeptide adapted to facilitate or enhance purification of the composition.
- A polypeptide composition according to Claim 7 wherein the composition comprises a fusion protein of (1) and (2).
- 9. A polypeptide composition according to Claim 7 or 8 comprising:-
  - (1) a polypeptide according to any of Claims 1-6; and
  - (2) a polypeptide adapted to bind to a chromatography column.
- A polypeptide composition according to any of Claims 7-9 comprising a
  polypeptide adapted to bind to an affinity chromatography column.
- 11. A polypeptide according to Claim 8 comprising a fusion protein of:-
  - (a) amino acids 848 to 1278 of a type F botulinum neurotoxin, with
  - (b) a purification moiety.
- A vaccine comprising a pharmaceutically acceptable carrier and a polypeptide according to any of Claims 1-6 or a polypeptide composition according to any of Claims 7-11.
- A recombinant DNA encoding a polypeptide according to any of Claims 1-6 or a polypeptide composition according to any of Claims 7-11.
- 14. A method of producing a polypeptide according to any of Claims 1-6 or a polypeptide composition according to any of Claims 7-11 comprising the steps of:-

- (a) expressing in a host cell a DNA encoding a fusion protein, said protein being a fusion of (i) a fragment or derivative of a type F botulinum toxin, and (ii) a moiety adapted to bind to a chromatography column,
- (b) obtaining from said host cell an extract comprising the fusion protein, and  $^{ij} \label{eq:comprising}$
- (c) purifying the fusion protein using a chromatography column.
- 15. A method according to Claim 14 wherein the chromatography column is an affinity chromatography column and the fusion protein is removed from the column by elution with a substrate.
- 16. A method according to Claim 14 or 15 further comprising cleaving the fusion protein and retaining the toxin fragment or derivative.
- 17. A method of making a pharmaceutical composition comprising:-
  - (a) expressing in a host cell a DNA encoding a fusion protein, said protein being a fusion of (i) a polypeptide free of toxin activity and capable of inducing protective immunity against a botulinum toxin, and (ii) a purification moiety adapted to bind to a chromatography column,
  - (b) obtaining from said host cell an extract comprising the fusion protein,
  - (c) purifying the fusion protein using chromatography column,
  - incorporating the purified fusion protein into a pharmaceutical composition.
- A method according to Claim 17 wherein said purification moiety binds to an affinity chromatography column.
- 19. A pharmaceutical composition comprising:-
  - (a) a fusion protein, said protein being a fusion of (i) a polypeptide fee of toxin activity and capable of inducing protective immunity against a botulinum toxin, and (ii) a polypeptide adapted to bind to a chromatography column; and

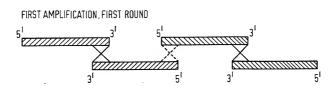
- (b) a pharmaceutically acceptable carrier.
- A pharmaceutical composition according to Claim 19 wherein said fusion protein comprises a polypeptide according to any of Claims 1-6.
- A pharmaceutical composition according to Claim 19 or 20 wherein the fusion protein comprises a polypeptide adapted to bind to an affinity chromatography column.
- A method of vaccinating a mammal against a botulinum toxin, comprising administering to said mammal a vaccine according to Claim 12.
- A method of vaccinating a mammal against a botulinum toxin, comprising administering to said mammal a pharmaceutical composition according to any of Claims 19-21.

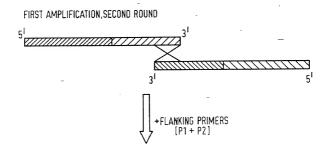
MONTHUE AMONTO



APPROVED O.G. FIG.

2/4





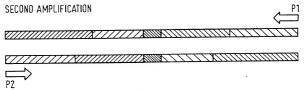
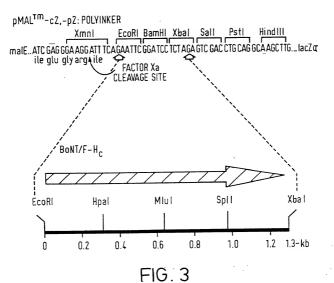


FIG. 2

3/4

Phac Ptac PMAL-c2 pMAL-c2 pMAL-p2 AMP'

pBR322 ori



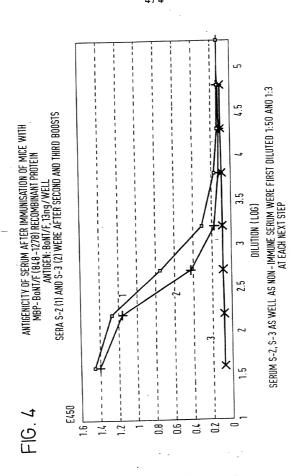
SUBSTITUTE SHEET (RULE 26)

(3): NON-IMMUNE SERA

O.G.FIG

8UBCLASS 41881

APPROVED



SUBSTITUTE SHEET (RULE 26)



### Declaration for Patent Application

43

Docket Number: 1581.0200000/RWE/CBM

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled Type F

Botulinum Toxin and Use Thereof. , the specification of which is attached hereto unless the following box is checked:

was filed on <u>December 12, 1997</u>; as United States Application Number or PCT International Application Number <u>08/981.087 (U.S. National Phase of PCT/GB96/01409</u>); and was amended on <u>December 12, 1997</u> (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

		tion on which priority is claimed.	icaic, of 1 C1 mio	or marifoliar
Prior Foreign Application(s)		*	Priority	Claimed
9511909.5 (Application No.)	Great Britain (Country)	12 June 1995 (Day/Month/Year Filed)	⊠ Yes	□ No
(Application No.)	(Country)	(Day/Month/Year Filed)	□ Yes	□ No
I hereby claim the benefit un	der 35 U.S.C. § 119(e) of an	y United States provisional application(s) liste	ed below.	
(Application No.)	(Filing Date)			
(Application No.)	(Filing Date)			
application designating the U not disclosed in the prior Un U.S.C. § 112, I acknowledge	Juited States, listed below ar ited States or PCT internation the duty to disclose information	United States application(s), or under § 365(c) d, msofar as the subject matter of each of the c and application in the manner provided by the ution that is material to patentability as defined lication and the national or PCT international f	claims of this app first paragraph of in 37 C.F.R. § 1.	lication is f 35
PCT/GB96/01409 (Application No.)	June 12, 1996 (Filing Date)	Abandoned (Status - patented, p	pending, abandon	ed)
(Application No.)	(Filing Date)	(Status - patented, p	oending, abandon	ed)

Appl. No. 08/981,087 Docket No. 1581.0200000/RWE/CBM

Send Correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W., Suite 600 Washington, D.C. 20005-3934

Direct Telephone Calls to.

Full name of sole or first inventor

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

100	Michael J. Elmore	
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3		
	Full name of second inventor Margaret L., Manchline	
D A	Second inventor's signature M M analline	1/5/98 <sup>te</sup>
<del>1111</del>	Residence Three Dormers, Bakers Hill, Semley, <u>Shafteshury</u> , Dorset SP7 9BQ Great Britain	Ĝ#3
	Citizenship Great Britan	
	Post Office Address Same as Above	
3-00	Full name of third inventor Nigel E. Mjinton	
	Third inventor's signature	5/5/98 Date
	Residence 27 Moberly Road, Salisbury, Wiltshire SP1 3BZ Great Britain  (-6.3	100 Mary 100
	Citizenship Great Britain	
	Post Office Address Same as Above	AVW

-1-00	Full name of fourth inventor Vladimir A. Pascelmik	
	Fourth inventor's signature 1 85 9 8	Date
	Residence 1 Copper Beech Close, <u>Shrewton</u> , Wiltshire SP4 4HU Great Britain C-ウ3	
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	Post Office Address Same as Above	
5-00	Full name of fifth investor Richard W. Titball  15-5-94	
5-00	Full name of fifth inventor Richard W. Tithstill 15-5-9F Fifth inventor's signature	Date
5-0	Addition of Annual 1	Date
5-0	Fifth inventor's signature  Paridance	

P USERS/CMASSEY/CBMSJO138; 626/DBCLARAT WPD SKOP Rev 40:96 (Supply similar information and signature for subsequent joint inventors, if any)



### POWER OF ATTORNEY FROM ASSIGNEE WITH DELEGATION

Microbiological Research Authority. a corporation of Great Britain, having a principal place of business at Centre For Applied Microbiology & Research Porton Down, Salisbury, Wiltshire SP4 0.16 Great Britain, is assignee of the entire right, title, and interest for the United States of America (as defined in \$5 U.S.C. \$100.) by reason of an Assignment to the Assignee executed on (1) \( \ldots \frac{1}{160} \), \( \frac{1}{25} \), \( \frac{1}{160} \),

The Assignee hereby appoints the following U.S. attorneys to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: Robert Greene Sterne, Registration No., 28,912; Edward J. Kessler, Registration No. 25,5688.

Jorge A. Goldstein, Registration No., 29,021; Samuel L. Fox, Registration No. 30,353; David K.S. Cornwell, Registration No. 31,1244; Robert W. Esmond, Registration No. 32,37 Tracy-Gene G. Durkin, Registration No. 23,021 Michael B. Ray, Registration No. 33,997; Robert E. Sokolh, Registration No. 36,013; Eric K. Steffe, Registration No. 36,688; and Michael Q. Lee, Registration No. 35,239.—The Assignee hereby grants said attorneys the power to insert on this Power of Attomey any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The Assignee hereby authorizes the U.S. attorneys named herein to accept and follow instructions from Mathys & Squire. 100 Gray's Inn Road, London WC1X 8AL. Great Britain as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the Assignee. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the Assignee.

Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 U.S.A.

Direct phone calls to 202-371-2600.

FOR:	Microbiological Research Authority
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BY:	JMHARKER
TITLE:	SECRETARY MRA (CAMIR)
DATE:	15 MAY 1998.

P \USERS\CMASSEY\CBMSJC\1581.020\ASSIGNEE POA